

Investment Adviser (CISI Level 4, RDR) — Boutique Wealth Manager, Mayfair, London

Description

Exec Capital is retained by a boutique, FCA-regulated wealth management firm based in Mayfair, London to find a CISI Level 4 (RDR)-qualified Investment Adviser — ideally holding the CISI Investment Advice Diploma (IAD) or a recognised equivalent qualification.

This is a genuinely exciting opportunity for someone early in their advisory career who wants real ownership, direct client relationships, and visibility with firm leadership from day one — rather than another rung on a large-firm ladder.

?? The Role at a Glance

- **Location:** Mayfair, London
- **Salary:** £90,000 – £110,000, structured for tax efficiency
- **Type:** Full-time preferred; part-time or flexible arrangements genuinely considered
- **Regulatory status:** FCA-registered, CISI Level 4 (RDR) qualified
- **Clients:** Mixed book of retail and professional clients

About the Firm

Our client is a boutique, FCA-regulated wealth management firm in the heart of Mayfair. It's a lean, owner-led operation — small enough that every hire matters and every adviser has a real say in how client relationships and the wider business develop. Rather than sitting several layers removed from senior leadership, you'll work closely with the firm's principals and be trusted with meaningful client responsibility from the outset.

The firm is currently strengthening its senior team, and this advisory hire is a core part of that push — a genuine opportunity to build both a client book and a reputation at a firm where your contribution is immediately visible.

Responsibilities

What You'll Do

- Advise both retail and professional clients on investment strategy, portfolio construction, and financial planning
- Build and maintain long-term client relationships based on trust, technical rigour, and personal service
- Work closely with the firm's senior leadership and compliance function to ensure all advice meets FCA suitability and conduct standards
- Contribute to the firm's wider advisory proposition, helping shape how the business serves clients across both segments
- Stay current with market developments, regulatory changes, and product innovation relevant to retail investment advice

Hiring organization

Exec Capital

Employment Type

Full-time

Beginning of employment

1st September 2026

Duration of employment

Perm

Industry

Financial Services

Job Location

London , South East, United Kingdom

Base Salary

£ 90,000 - £ 110,000

Date posted

August 17, 2026

Valid through

31.08.2026

What You'll Bring

- CISI Level 4 (RDR) qualification — the CISI Investment Advice Diploma (IAD) or a recognised equivalent (e.g. IMC, CII Level 4 Diploma in Regulated Financial Planning, LIBF Level 4 Diploma for Financial Advisers with appropriate gap-fill)
- Approximately 1–2 years' post-qualification experience in a regulated advisory or investment management environment
- Comfort and credibility operating across both retail and professional/HNW client segments
- Strong communication and relationship-building skills, with the judgement to operate autonomously in a small-firm setting
- A genuine entrepreneurial mindset — this role suits someone who wants to build something rather than fit into an existing large-firm structure

Job Benefits

Package and Working Arrangements

Salary is set at £90,000–£110,000, with the firm open to structuring the package for tax efficiency where appropriate. While full-time is the preference, the firm has indicated genuine flexibility around part-time or hybrid arrangements for the right candidate.

Why Apply

This is a rare chance to join a boutique advisory firm at a formative moment, working directly alongside senior leadership rather than within a large, hierarchical advice business. You'll have real scope to shape your own client book and contribute to the firm's broader direction — all while being backed by a firm that takes regulatory rigour and client outcomes seriously.